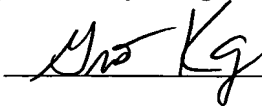


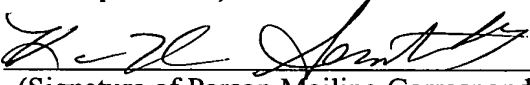
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 41587-406
		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/564,716
INTERNATIONAL APPLICATION NO. PCT/EP2004/07893	INTERNATIONAL FILING DATE 07/15/2004	PRIORITY DATE CLAIMED 07/15/2003
TITLE OF INVENTION ADJUSTER FOR THE VERTICAL ADJUSTMENT OF A HEAD RESTRAINT		
APPLICANT(S) FOR DO/EO/US Robert Kopetsky, et al.		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <input type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input checked="" type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154 (d)(4).</li> </ol> </li> <li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</li> <li>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>		
<p><b>Items 11 to 20 below concern document(s) or information included:</b></p> <ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input type="checkbox"/> A preliminary amendment.</li> <li>14. <input type="checkbox"/> A Application Data Sheet under 37 CFR 1.76.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A of power of attorney and/or change of address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825.</li> <li>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>20. <input type="checkbox"/> Other items or information:</li> </ol>		

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/564,716		INTERNATIONAL APPLICATION NO. PCT/EP2004/07893		ATTORNEY'S DOCKET NUMBER 41587-406	
The following fees are submitted:				<b>CALCULATIONS</b>	<b>PTO USE ONLY</b>
21.	<input type="checkbox"/>	Basic fee.....	\$300	\$	
22.	<input type="checkbox"/>	Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4).....(0) All other situations.....	\$200	\$	
23.	<input type="checkbox"/>	Search Fee Search fee (37 CFR 1.44(a)(2)) has been paid on the international application to the US PTO as an International Searching Authority .....(0) International Search Report prepared and provided to the Office..... All other situations .....	\$400 \$500	\$	
<b>TOTAL OF 21, 22 AND 23=</b>				\$0.00	
<input type="checkbox"/> Additional fee for specification and drawings files in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets		Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE	
-100=		/50=		X \$250	\$0.00
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	
Total claims		- 20 =		x \$ 50	\$0.00
Independent claims		- 3 =		x \$200	\$0.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$360	\$0.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$0.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				+	
<b>SUBTOTAL =</b>				\$0.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$0.00	
<b>TOTAL NATIONAL FEE =</b>				\$0.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$0.00	
<b>TOTAL FEES ENCLOSED =</b>				\$0.00	
Other: Surcharge fee for late submission of signed Declaration				Amount to be refunded:	\$
				charged:	\$
a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. <u>08-3460</u> in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>08-3460</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.					
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to review (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>					
SEND ALL CORRESPONDENCE TO: Grant D. Kang, Reg. No. 37,651 Husch & Eppenberger, LLC 190 Carondelet Plaza St. Louis, MO 63105 (314)480-1500					
				 Agent's Signature	
				Date: <u>6/8/06</u>	

2374552.01

<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b> Applicant(s) Robert Kopetzky et al.		Docket No. 41587-406
Appl. No. <b>10/564,716</b>	Int'l. Filing Date <b>07/15/2004</b>	Priority Date <b>07/15/2003</b>
Title: <b>ADJUSTER FOR THE VERTICAL ADJUSTMENT OF A HEAD RESTRAINT</b>		
<p>           I hereby certify that the following correspondence:            Transmittal Letter to the United States Receiving Office Concerning a Filing Under 35 USC 371            Information Disclosure Statement with SB08A&amp;B forms attached            Copies of cited foreign references            Return Receipt Postcard            is being deposited with the United States Postal Service "Express Mail Post Office to Addressee"            service under 37 CFR 1.10 in an envelope addressed to: Mail Stop PCT, Commissioner for            Patents, P.O. Box 1450, Alexandria, VA 21313-1450 on <u>JUNE 8, 2006</u>.         </p>		
<div style="text-align: right;"> <b>Karen K. Sonsteby</b>            (typed or Printed Name of Person Mailing Correspondence)         </div>		
<div style="text-align: right;">             (Signature of Person Mailing Correspondence)         </div>		
<div style="text-align: right;"> <b>EV697644696US</b>            ("Express Mail" Mailing Label Number)         </div>		
<div style="text-align: right; margin-top: 100px;"> <b>Husch &amp; Eppenberger, LLC</b>            190 Carondelet Plaza, Suite 600            St. Louis, MO 63105            314.480.1500 telephone            314.480.1505 facsimile         </div>		

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
41587/406

In Re Application Of:

Robert Kopetzky

Serial No.  
10/564,716

Int'l. Filing Date  
07/15/2004

Examiner  
TBA

Group Art Unit  
TBA

Title:

ADJUSTER FOR THE VERTICAL ADJUSTMENT OF A HEAD RESTRAINT

Address to:  
MAIL STOP  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22131-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 41587/406
In Re Application: Robert Kopetzky			
Serial No. 10/564,716	Int'l. Filing Date 07/15/2004	Examiner TBA	Group Art Unit TBA
Title: ADJUSTER FOR THE VERTICAL ADJUSTMENT OF A HEAD RESTRAINT			
<b>Payment of Fee</b>  (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))			
<input type="checkbox"/> A check in the amount of \$          is attached. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge and credit Deposit Account No.    08-3460 as described below. A duplicate copy of this sheet is enclosed. <div style="margin-left: 40px;"> <input type="checkbox"/> Charge the amount of \$  <input checked="" type="checkbox"/> Credit any overpayment.  <input checked="" type="checkbox"/> Charge any additional fee required.         </div>			
<div style="border: 1px solid black; padding: 5px; margin-bottom: 10px;"> <div style="text-align: right; font-size: small;">Signature</div> </div> <div style="text-align: center;">             Grant D. Kang, 37,651              Husch &amp; Eppenberger, LLC              190 Carondelet Plaza              St. Louis, MO 63105              314-480-1500              314-480-1505 FAX           </div> <div style="margin-top: 10px;">             Date: <u>6/8/06</u> </div>		<div style="text-align: center; margin-bottom: 10px;"> <b>Certificate of Mailing by Express Mail</b> </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 10px;">             I certify that this document and fee is being deposited on  <u>JUNE 8, 2006</u> with the U.S. Postal              Service as Express Mail under 37 C.F.R. 1.10 and              addressed to <b>Mail Stop, Commissioner for Patents, P.O.              Box 1450, Alexandria, VA 22131-1450</b> </div> <div style="margin-bottom: 10px;"> <b>Express Mail No.:</b>                      <b>EV697644696US</b> </div> <div style="text-align: center; margin-bottom: 10px;"> <div style="text-align: right; font-size: small;">Signature of Person Mailing Correspondence</div> </div> <div style="text-align: center; margin-bottom: 10px;"> <b>Karen K. Sonstebly</b> </div> <div style="text-align: center; font-size: small;">       Typed or Printed Name of Person Mailing Certificate     </div>	
Customer No:    029493			

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**Complete if Known**

*(Use as many sheets as necessary)*

Sheet	1	of	3
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Application Number	10/564,716
Filing Date	TBA
First Named Inventor	Robert Kop
Art Unit	TBA
Examiner Name	TBA
Attorney Docket Number	41587-406

[illegible]

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known)				
		DE4031656A	04/09/1992	AMEU Mgmt. Corp		
		GB2057255A	04/01/1981	Rentrop Hubbert		
		GB1218541A	01/06/1971	Slumberland Gro		
		DE2952749C	09/08/1988	Brose Fahrzeugt		
		DE3141515A1	04/28/1983	C. Rob. Hammers		
		FR2749812A	12/19/1997	Bertrand Faure		

**Examiner  
Signature**

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.**

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**Complete if Known**

(Use as many sheets as necessary)

Sheet	2	of	3
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Application Number	10/564,716
Filing Date	TBA
First Named Inventor	Robert Kopetzky
Art Unit	TBA
Examiner Name	TBA
Attorney Docket Number	41587-406

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known)				
		DE4325996A1	02/09/1995	Broze Fahrzeugt		
		DE7920475U1	11/08/1979	P.A. Rentrop		

**Examiner  
Signature**

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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**If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.**

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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>		<b>Complete if Known</b>	
		Application Number	10/564,716
		Filing Date	TBA
		First Named Inventor	Robert Kopetzky
		Art Unit	TBA
		Examiner Name	TBA
Sheet 3	of 3	Attorney Docket Number	41587-406

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		International Search Report, PCT/EP2004/007893	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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